



PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL LETTER TO THE UNITED STATES			ATTORNEY'S DOCKET NUMBER 3581.07US01	
DESIGNATED/ELECTED OFFICE (DO/EO/US)			U.S. APPLICATION NO. (If known, see 37 CFR 1.5)	
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371			10/522,690	
	TIONAL APPLICATION NO.	INTERNATIONAL FILING DATE 29 July 2003	PRIORITY DATE CLAIMED	
	PCT/EP03/008369 29 July 2003 30 July 2002  TITLE OF INVENTION			
	I CRYSTALLISATION METHOD			
HOFFMA	APPLICANT(S) FOR DO/EO/US HOFFMANN, Kurt			
Applican	Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
1.	This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.			
2.	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.			
3.	3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.			
4.	The US has been elected (Article 31).			
5.	A copy of the International Application	n as filed (35 U.S.C. 371(c)(2))		
	a. is attached hereto (required	only if not communicated by the Internation	nat Bureau).	
	b. In has been communicated by the International Bureau.			
	c. Li is not required, as the application was filed in the United States Receiving Office (RO/US).			
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).			
	a. Lis attached hereto.			
	b. has been previously submitted under 35 U.S.C. 154(d)(4).			
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))			
	a. are attached hereto (required only if not communicated by the International Bureau).			
	b. have been communicated by the International Bureau.			
	c. have not been made; however, the time limit for making such amendments has NOT expired.			
	d. have not been made and will not be made.			
8. 📙	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).			
9. 🖆	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).			
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).			
Items 11 to 20 below concern document(s) or information included:				
11.	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.			
12.	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.			
13.	A preliminary amendment.			
14.	An Application Data Sheet under 37 CFR 1.76.			
15. 🔲	A substitute specification.			
16.	A power of attorney and/or change of address letter.			
17. 🔲	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.			
18. 🗖	A second copy of the published International Application under 35 U.S.C. 154(d)(4).			
19. 🔲	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).			



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a. A check in the amount of \$ 65.00 to cover the above fees is enclosed.  b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.				
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.				
A duplicate copy of this sheet is enclosed.				
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-0631. A duplicate copy of this sheet is enclosed.	nmissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit No. 16-0631 . A duplicate copy of this sheet is enclosed.			
Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.				
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.				
SEND ALL CORRESPONDENCE TO:  Brim 2 January				
Peter S. DARDI SIGNATURE	SIGNATURE /			
4800 IDS Center Brian L. JARRELLS				
80 South 8th Street NAME Minneapolis, Minnesota 55402 53,067				
REGISTRATION NUMBER	—			





JUL 2 5 2005



### United States Patent and Trademark Office

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United States Patent and Tradition Office
Address COMMISSION P.A.
P.O. Dog 1459

P.O. DOX 1450 Alexandria, Vincinia 22313-1450 www.mak.com

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT		ATT	Y. DOCKET NO.	
10/522,690	Kurt Hoffmann	35	3581.07US01	
		INTERNATIONAL AP	PLICATION NO.	
	•	PCT/EP03	PCT/EP03/08369	
Brian L Jarrells		LA. FILING DATE	PRIORITY DATE	
Patterson Thuente Skaar & Christensen	•	07/29/2003	07/30/2002	

Patterson Thuente Skaar & Christensen 4800 lds Center 80 South 8th Street Minneapolis, MN 55402

CONFIRMATION NO. 1767
371 FORMALITIES LETTER

\*OC000000016582260\*

DOCKETED

Date Mailed: 07/22/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- · Indication of Small Entity Status
- Copy of the International Application filed on 01/28/2005
- Information Disclosure Statements filed on 01/28/2005
- Small Entity Statement filed on 01/28/2005
- U.S. Basic National Fees filed on 01/28/2005
- Priority Documents filed on 01/28/2005

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ar 2 month extension 4	722-11)
, er 3 month extension $\mathcal{L}$	2-22-05
er 4 month extension	-22_06
Per 5th & final extension	2-22-06
	SY

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$505 as a small entity, including any required multiple dependent claim fee, are
  required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are
  due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$570 for a Small Entity:

Docketing Review



- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$ 505
  - \$200 for 2 independent claims over 3.
  - \$125 for 10 total claims over 20.
  - \$180 for multiple dependent claim surchare.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/522,690	PCT/EP03/08369	3581.07US01

FORM PCT/DO/EO/905 (371 Formalities Notice)



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PCT RECEIVING OFFICE (RO/US)

In re the application of:

Attorney Docket No.: 3581.07US01

**HOFFMANN** 

Confirmation No.: 1767

Application No.:

10/522,690

Filed:

28 January 2005

For:

PROTEIN CRYSTALLISATION METHOD

#### COMMUNICATION

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notification of Missing Requirements mailed 22 July 2005, an additional claims fee of \$505.00 has been requested. Applicant submits that there are 25 claims pending, and 3 are independent claims. A copy of the Preliminary Amendment as filed with the application on 28 January 2005 is attached. As such, Applicant does not believe an additional claims fee is required.

The filing fee was calculated as shown below:

		Ĭ '	Small Entity		Large Entity
	No. Filed	No. Extra	Rate	OR	Rate
Basic Filing Fee			\$150	OR	\$300
Utility Search Fee			\$250	OR	\$500
Utility Examination Fee			\$100	OR	. \$200
Total Claims	25 - 20	= 5	x 25 = \$125	OR	x 50 = \$
Independent Claims	3 - 3	= 0	x 100 = \$0	OR	x 200 = \$
Presence of Multiple Deper	dent Claim		+ 180 = \$0	OR	+ 360
Utility Application Size Fedexceeds 100 sheets:	e - per each additions	al 50 sheets that	* x 125 = \$0	OR	* x 250 = \$
		TOTA	L \$625.00	TOT	`AL\$

Applicant is entitled to small entity status in accordance with 37 CFR 1.27. A check in the amount of \$65.00 is enclosed for the surcharge fee.

Entry of these documents should complete all of the filing formalities and fully satisfy all requirements of the Notification of Missing Requirements.

The Commissioner is hereby authorized to charge any underpayment or credit any overpayment to Deposit Account No. 16-0631.

Respectfully submitted,

Brian L. JARRELLS

Registration No. 53,067

Attorney Docket No. 3581.07US01

Patterson, Thuente, Skaar & Christensen, P.A. 4800 IDS Center 80 South Eighth Street Minneapolis, Minnesota 55402-2100 (612) 252-1535

#### CERTIFICATE OF EXPRESS MAIL

"Express Mail" mailing label number EV 578266353 US. Date of Deposit: 09 August 2005. I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

	Daris Whiteanh
Doris Whitcomb	
Name of Person Making Deposit	Signature



**HOFFMANN** 

# JC04 RecideCT/PTO 0.9 AUG 2005

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PCT RECEIVING OFFICE (RO/US)

In re the application of:

Attorney Docket No.: 3581.07US01

Confirmation No.: 1767

Application No.:

10/522,690

Filed:

28 January 2005

For:

PROTEIN CRYSTALLISATION METHOD

#### SUBMISSION OF DOCUMENTS

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Enclosed for filing in the above-identified application are the following documents:

- 1. Transmittal Letter to the United States Designated/Elected Office Concerning a Filing Under 35 U.S.C. 371 3 pages;
- 2. Copy of Notice of Missing Requirements dated 22 July 2005 2 pages;
- 3. Communication -2 pages;
- 4. Copy of Preliminary Amendment as filed 28 January 2005 7 pages;
- 5. Executed Combined Declaration and Power of Attorney 2 pages;
- 6. English translation of the International Preliminary Examination Report 7 pages;
- 7. Check in the amount of \$65.00 (missing requirements fee); and
- 8. Return receipt postcard.

The Commissioner is authorized to charge to Deposit Account No. 16-0631 any underpayments, overpayments or additionally required fees.

Respectfully submitted,

Brian 2 Janell

Brian L. JARRELLS Registration No. 53,067

Patterson, Thuente, Skaar & Christensen, P.A. 4800 IDS Center 80 South Eighth Street Minneapolis, Minnesota 55402-2100 (612) 252-1535

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Doris Whitcomb
Name of Person Making Deposit

Signature Whitensh

#5

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INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/522,690 PCT/EP03/008369 3581.07US01 20. Other items or information: Copy of the Notification of Missing Requirements dated 22 July 2005 - 2 pages; Communication - 2 pages and Copy of the Preliminary Amendment as filed 28 January 2005 - 7 pages. The following fees have been submitted CALCULATIONS PTO USE ONLY 21. Basic national fee (37 CFR 1.492(a))......\$300 22. Examination fee (37 CFR 1.492(c)) \$ If the written opinion prepared by ISA/US or the international preliminary examination report prepared All other situations.....\$200 Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)......\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB......\$400 All other situations......\$500 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Number of each additional 50 or fraction RATE **Extra Sheets** thereof (round up to a whole number) x \$250 -100 =/50 = Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration \$ 130.00 after the date of commencement of the national stage (37 CFR 1.492(h)). NUMBER EXTRA **CLAIMS** NUMBER FILED RATE \$ \$ x \$ 50 Total claims - 20 = \$ \$200 Independent claims \$ MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360 TOTAL OF ABOVE CALCULATIONS = \$ 130.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = \$ 65.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(i)). **TOTAL NATIONAL FEE =** \$ 65.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$ 65.00 Amount to be \$ 08/15/2005 GFREY1 00000138 10522690 refunded: Amount to be :2617 65.00 OP charged